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THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Jeong-Min Lee

Appln. No. : 10/592,028

Filed : September 7, 2006

For : STRUCTURE OF CAP HAVING  
STORAGE SPACE

Docket No.: L69.12-0006

Group Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, THIS

3 DAY OF Dec, 2006

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. X 1. Within three month of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continued Examination (RCE).

2. — after the time period specified in paragraph 1 above, but before the mailing date of a final

action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.

Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

#### METHOD OF PAYMENT

- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Judson K. Champlin, Reg. No. 34,797  
900 Second Avenue South, Suite 1400  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222  
Fax: (612) 334-3312



FORM PTO-1449	Atty. Docket No.: L69.12-0006	Appl. No.: 10/529,028
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Jeong-Min Lee	
	Filing Date	Group Art:
	September 7, 2006	

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	4,667,818	May 1987	Evans	206	219	
AB	4,513,861	Apr 1985	Baram	206	219	
AC						
AD						
AE						
AF						
AK						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL	WO 2005/049430	June 2, 2005	WIPO			X
AM	0 077 556	Oct 18, 1982	EPO			X
AN	0 093 090	April 6, 1983	EPO			X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	"A Closure for Bottles and the Like of the Type including a Breakable Bottom Reservoir to Break During Use.", <a href="http://v3.espacenet.com/textdoc?DB=EPODOC&amp;IDX=EP0093090&amp;F=0">http://v3.espacenet.com/textdoc?DB=EPODOC&amp;IDX=EP0093090&amp;F=0</a> , one page, 12/12/06.
AP	International Search Report, PCT/KR2005/000641, 2 pages.
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.